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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/087,660	03/01/2002	Michael John Towler	YAMAP0804US	7895
43076	7590	05/19/2006	EXAMINER	
MARK D. SARALINO (GENERAL) RENNER, OTTO, BOISSELLE & SKLAR, LLP 1621 EUCLID AVENUE, NINETEENTH FLOOR CLEVELAND, OH 44115-2191			DUONG, THOI V	
			ART UNIT	PAPER NUMBER
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Please find below and/or attached an Office communication concerning this application or proceeding.

HA

Office Action Summary	Application No. 10/087,660	Applicant(s) TOWLER ET AL.	
	Examiner Thoi V. Duong	Art Unit 2871	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 03 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 07 March 2006.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1,3-6,8-20 and 24-26 ~~is/are~~ are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1,3-6,8-20 and 24-26 ~~is/are~~ are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. This office action is in response to the Amendment filed March 07, 2006.

Accordingly, claim 1 was amended, and claims 2, 7 and 21-23 were cancelled.

Currently, claims 1, 3-6, 8-20 and 24-26 are pending in this application.

Claim Rejections - 35 USC § 103

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 1, 3-6, 8-10, 15-17, 19, 20 and 24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Acosta et al. (Acosta, EP 0996028A2) in view of Sasaki et al. (Sasaki, USPN 6,879,364 B1).

Re claims 1, 3 and 4, as shown in Fig. 1, Acosta discloses a liquid crystal device comprising a nematic liquid crystal 3, voltage means for applying a voltage across said liquid crystal, and two substrates 1, 1' each provided with an alignment layer 2, 2' (col. 1, paragraphs 1-5), wherein, as illustrated in Fig. 10:

said liquid crystal is sandwiched between said two substrates;

said nematic liquid crystal can be placed in at least one operating state and at least one non-operating state (cols. 1 and 2, paragraphs 8 and 9);

at least one of said alignment layers is provided with a plurality of surface protrusions 8, 8' formed from an anisotropic material as shown in Fig. 10 (cols. 14, paragraph 83); and

said protrusions affect alignment both near the surface where a high pre-tilt in region A is produced and within the bulk of the liquid crystal,

wherein said liquid crystal is divided into a plurality of pixels each having an active region (pixel region), and wherein the active region of each said pixel overlaps with at least one of said protrusions, so that nucleation occurs within said active region (Fig. 10 and col. 14, paragraph 83).

Acosta discloses a liquid crystal device that is basically the same as that recited in claims 1, 3 and 4 except for protrusions having a height which is at least 10% or 20% or substantially 50% of the thickness of the liquid crystal and the active region of each said pixel partially overlapping with at least one of said protrusions and at least one of said protrusions being limited to a peripheral area of the active region.

As shown in Fig. 72, Sasaki discloses a liquid crystal device comprising an active region 22 (pixel region) partially overlapping a protrusion 30, 32, 80, 82 in the pixel region, and the protrusions 80 (edge projection) and 82 (corner projection) being limited to a peripheral area of the active region 22 to prevent the display from darkening (col. 34, lines 26-55),

wherein, as shown in Fig. 32, the protrusion 30 comprises a dot-like 56 served as a nucleus (col. 23, lines 7-22); and

wherein the protrusion 30 has a height of 1.5 micrometer (col. 19, lines 48-51), the dot-like 56 has a height of 1 micrometer (col. 23, lines 35-38) and the thickness of the liquid crystal is 3.5 micrometer (col. 33, lines 56-59).

Accordingly, the protrusion 30 has a height which is about 43% of the thickness of the liquid crystal, and the protrusion 30 comprising the dot-like 56 has a height which is about 71% of the thickness of the liquid crystal.

Thus, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the liquid crystal device of Acosta with the teaching of Sasaki by forming protrusions being partially overlapped by an active region of the pixel, at least one of said protrusions being limited to a peripheral area of the active region, and having a height which is at least 10% (or 20% or 50%) of the liquid crystal so as to suppress the aged-based variation of the domains of the liquid crystal after voltage application and eliminate the overshoot, to prevent the display from darkening, and hence to obtain a device having a high brightness and high response speed (col. 2, lines 41-47; col. 23, lines 23-32; and col. 34, lines 49-54).

Re claims 5 and 16, Acosta discloses that at least some of said protrusions nucleate said liquid crystal into said operating state from said non-operating state when said voltage exceeds a threshold value and said operating and non-operating states are topologically distinct from each other (cols. 1 and 2, paragraph 8 and 9; col. 4, paragraph 22; and col. 12, paragraph 73).

Re claim 6, Acosta discloses that at least some of said protrusions isolate said operating state from said non-operating state or from another operating state (col. 12, paragraph 73).

Re claim 8, Acosta discloses that said liquid crystal is divided into a plurality of pixels and wherein each said pixel is surrounded by at least one of said protrusions, so that the pixel is isolated (col. 14, paragraph 83).

Re claims 9 and 10, Acosta discloses that said nematic liquid crystal is a pi-cell or splay bend device (SBD) (col. 1, paragraphs 1-3).

Re claim 15, Acosta discloses that the anisotropic protrusions are formed from a polymerisable reactive mesogen (cols. 13 and 14, paragraphs 81 and 82).

Re claims 17 and 19, Acosta discloses that when said voltage is substantially zero different regions of said liquid crystal exist in first non-operating state (regions A and C) and second non-operating state (region B), and the first non-operating state is stabilized by said anisotropic protrusions 8, 8' as illustrated in Fig. 10, which is a modification of the device shown in Fig. 8, wherein said first non-operating state is the same state as said operating state (col. 9, paragraphs 52-54 and col. 14, paragraphs 83-84).

Re claim 24, the protrusions 8, 8' in Fig. 10 of Acosta are trapezoidal anisotropic protrusions.

Finally, re claim 20, as to the product-by-process limitation "a method of producing the liquid crystal device comprising the steps of forming a reactive mesogen layer on substrates, curing said layer by irradiating said layer with UV light through a

mask to leave said one of said substrates coated with anisotropic protrusions" recited in claim 20, it has been recognized that "Even through product-by-process claims are limited by and defined by the process, determination of patentability is based on the product itself. The patentability of a product does not depend on its method of production. If the product in the product-by process claim is the same as or obvious from a product of the prior art, the claim is unpatentable even though the prior art product was made by a different process". *In re Thorpe*, 227 USPQ 964,966 (Fed. Cir. 1985). See also MPEP 2113.

4. Claims 12-14, 25 and 26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Acosta et al. (Acosta, EP 0996028A2) in view of Sasaki et al. (Sasaki, USPN 6,879,364 B1) as applied to claims 1, 3-6, 8-10, 15-17, 19, 20 and 24 above and further in view of Funada et al. (Funada, USPN 4,232,947).

The liquid crystal device of Acosta as modified in view of Sasaki above includes all that is recited in claims 12-14 except for the protrusions being tilted anisotropy protrusions or twisted anisotropy protrusions.

Re claims 12-14, Funada discloses a liquid crystal device in which the protrusions are tilted anisotropy protrusions (col. 3, lines 32-46) or twisted anisotropy protrusions (col. 3, line 61 through col. 4, line 30).

Thus, it would have been obvious to one having ordinary skill in the art at the time the invention was made to further modify the device of Acosta with the teaching of Funada by forming protrusions which are tilted or twisted anisotropy protrusions so as to

attain uniform alignment of liquid crystal molecules and hence to reduce free energy due to liquid crystal molecules (Abstract).

Re claims 25 and 26, the protrusions in Fig. 4 of Funada are triangular or mitre-shaped anisotropic protrusions.

5. Claims 11 and 18 rejected under 35 U.S.C. 103(a) as being unpatentable over Acosta et al. (EP 0996028A2) in view of Sasaki et al. (Sasaki, USPN 6,879,364 B1) as applied to claims 1, 3-6, 8-10, 15-17, 19, 20 and 24 above, and further in view of Ulrich et al. (Ulrich, USPN 6,618,113 B1).

The liquid crystal device of Acosta as modified in view of Sasaki above includes all that is recited in claims 11 and 18 except for a bistable twisted nematic (BTN).

As shown in Figs. 12 and 16, Ulrich discloses a liquid crystal device comprising a bistable twisted nematic (BTN) liquid crystal layer 23 and twisted anisotropic spacer walls 10 (col. 7, lines 35-46 and col. 9, lines 43-47) so as to avoid substantial reduction in contrast ratio (col. 4, lines 27-32).

Thus, it would have been obvious to one having ordinary skill in the art at the time the invention was made to further modify the liquid crystal device of Acosta et al. with the teaching of Ulrich et al. by employing a BTN liquid crystal and twisted anisotropic protrusions to create a first non-operating state as T state and improve contrast ratio for the display (col. 4, lines 27-32).

Response to Arguments

6. Applicant's arguments filed March 07, 2006 have been fully considered but they are not persuasive.

Applicant argued that Saaki does not teach or suggest a protrusion that is limited to a peripheral area of the active region as recited in claim 1. The Examiner disagrees with Applicant's remarks. As shown in Fig. 72, Sasaki discloses protrusions 80 and 82 being formed at the edge and the corner of the pixel region 22 (active region) (col. 34, lines 26-55). Thus, these protrusions are limited to a peripheral area of the active region 22.

Conclusion

7. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Thoi V. Duong whose telephone number is (571) 272-2292. The examiner can normally be reached on Monday-Friday from 8:30 am to 4:30 pm.

Art Unit: 2871

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David Nelms, can be reached at (571) 272-1787.

Thoi Duong



05/12/2006



DUNG T. NGUYEN
PRIMARY EXAMINER